

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

INVENTOR: Kevin M. Christiansen
SERIAL NO.: 10/667,241
FILING DATE: September 18, 2003
TITLE: System for Data Transfer Through an I/O Device Using a Memory Access Controller Which Receives and Stores Indication of a Data Status Signal
ATTY. DKT. NO.: 18602-08301 (P1547R1C1)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 27 Oct 2004.

By: 
Daniel R. Brownstone, Reg. No.: 46,581

MAIL STOP PETITION
COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313

PETITION FOR FILING ON BEHALF OF AN INVENTOR UNDER 37 C.F.R. 1.47(B)

This Petition is filed under 37 C.F.R. § 1.47(b) in respect to the above-captioned patent application because the sole inventor of what is claimed refuses to sign the application declaration. The assignee of all right, title and interest in the application, Apple Computer Inc. ("the Applicant"), therefore petitions to make application on behalf of and as agent for the inventor. The Applicant was the inventor's employer at the time of the invention and at the time of filing of the original application that became Patent No. 5,961,614.

Included with this Petition are:

- A copy of a certificate under 37 C.F.R. § 3.73(b) establishing ownership by the Applicant;

- An original declaration under 37 C.F.R. § 1.63, signed by Daniel R. Brownstone, Reg. No. 46,581, who is authorized by the Applicant to sign on its behalf (please see power of attorney and statement under C.F.R. 3.73(b) documents attached); and
- A declaration by Daniel R. Brownstone to support this Petition, that includes a statement that Daniel R. Brownstone is authorized by the Applicant to sign on its behalf.

The last known address of the inventor is:


20691 Carniel Avenue
Saratoga, California 95070

This application is a reissue application. Because the original patent, No. 5,961,614 included error, as described in the declaration under 37 C.F.R. § 1.175, the Applicant will suffer irreparable damage if this application cannot be made.

Accordingly, the Applicant respectfully petitions the Director to grant this petition and allow the Applicant to execute a declaration on the non-signing inventor's behalf.

Respectfully submitted,
APPLE COMPUTER, INC.

Dated: 27 Oct 2004 .

By: 
DANIEL R. BROWNSTONE,
Reg. No.: 46,581
FENWICK & WEST LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (415) 875-2358
Fax.: (650) 938-520



Atty. Dkt. No.: 18602-06418 (P1547R1)

Certificate Under 37 CFR § 3.73(b)

COPY

Applicant:

Kevin M. Christiansen

Application No.:

09/972,847

Filing Date:

October 4, 2001

Name of Assignee:

Apple Computer, Inc.

Type of Assignee (e.g. corporation, partnership, university, government agency, etc.):

Corporation

The above-mentioned Assignee certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8106, Frame 0975, or for which a copy thereof is attached; OR

B. ☐ A chain of title from the inventor, of the patent application identified above, to the current assignee as shown below:

1. From: _____
To: _____
The document was recorded in the Patent and Trademark Office at
Reel: _____ and Frame: _____, or for which a copy thereof is attached.
2. From: _____
To: _____
The document was recorded in the Patent and Trademark Office at
Reel: _____ and Frame: _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2-6-02

Date

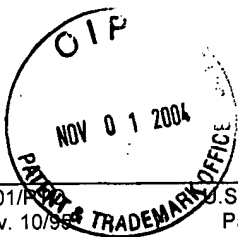
Signature

Richard J. Lutton, Jr.

Name

Assistant Secretary, Chief Patent Counsel

Title



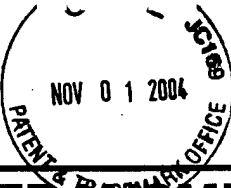
DAE JFW
\$
+

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	10/667,241	
	Filing Date	September 18, 2003	
	First Named Inventor	Kevin M. Christiansen	
	Group Art Unit Number	2182	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	20	Attorney Docket Number	18602-08301

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts (copy)	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Reissue Declaration (copy)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References	<input checked="" type="checkbox"/> Renewed Declaration of Daniel R. Brownstone
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> _____
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> RENEWED PETITION FOR FILING ON BEHALF OF AN INVENTOR UNDER 27 CFR 1.47
<input type="checkbox"/> Amendment/Response: [] Page(s)	<input type="checkbox"/> _____
<input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> _____
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Daniel R. Brownstone 46,581	Dated: October 27, 2004

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. 1.10.		
Signature:		
Typed or Printed Name:	Daniel R. Brownstone	Dated: October 27, 2004
Express Mail Mailing Number (optional):		



FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application Number	10/667,241
Filing Date	September 18, 2003
First Named Inventor	Kevin M. Christiansen
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	18602-08301

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid	
26	-20**= 6	18	= 108	
Independent Claims	5	-3**= 2	86	= 172
Multiple Dependent				

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	280
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

SUBTOTAL (3) (\$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) Daniel R. Brownstone

Registration No. (Attorney/Agent) 46,581

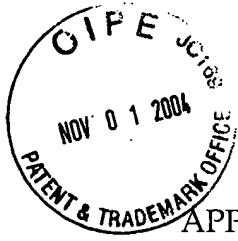
Complete (if applicable)

Telephone (415) 875-2358

Signature

Date

27 Oct 2004



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT Kevin M. Christiansen
SERIAL NO.: 10/667,241
FILING DATE: September 18, 2003
TITLE: System for Data Transfer Through an I/O Device
Using a Memory Access Controller Which Receives
and Stores Indication of a Data Status Signal
ATTY. DKT. NO.: 18602-08301 (P1547R1C1)

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Dated: 27 Oct 2004.

By: 
Daniel R. Brownstone, Reg. No.: 46,581

MAILSTOP PETITION
COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313

DECLARATION OF DANIEL R. BROWNSTONE

I, Daniel R. Brownstone, am an attorney registered to practice before the United States Patent and Trademark Office ("PTO"). My registration number is 46,581. I and other attorneys in the firm of Fenwick & West LLP represent the Applicant and assignee of record, Apple Computer, Inc., with respect to various patent-related matters, including prosecution of application 10/667,241. I, Daniel R. Brownstone, Reg. No. 46,581, am authorized by the Applicant and assignee of record, Apple Computer, Inc. to sign on its behalf (please see power of attorney and statement under C.F.R. 3.73(b) documents attached.) I hereby declare the following:

1. On or about October 4, 2001, Fenwick & West LLP was instructed by the Applicant to file and on October 4, 2001 did file application 09/972,847 as a reissue of U.S. Patent No. 5,961,614.

2. The sole named inventor in the application is Kevin M. Christiansen.

3. On January 4, 2002, Mr. Christiansen executed a reissue declaration. The reissue declaration was submitted on February 11, 2002 to the PTO as part of a response to a Notice to File Missing Parts.

4. In an Office Action mailed March 18, 2003, the Examiner found that the declaration on file did not support claims subsequently added by amendment, and required a new declaration.

5. On September 16, 2003, a copy of the application, preliminary amendment, amendment A, and a reissue declaration were sent via FedEx overnight courier to Kevin Christiansen at his last known address. The tracking number of the package was 792970709659. The package was delivered on September 17, 2003 at 9:31 AM.

6. Kevin Christiansen did not return an executed declaration to our firm.

7. On September 18, 2003, I filed application 10/667,241 in the PTO via Express Mail. The application was filed as a continuation of application 09/972,847 and included an unsigned declaration.

8. A Notice to File Missing Parts of Reissue Application was mailed from the PTO on November 7, 2003.

9. On January 12, 2004, I sent via FedEx a copy of application number 10/667,241, a preliminary amendment and a second copy of a declaration to Kevin Christiansen at his last known address.

10. Kevin Christiansen did not return an executed declaration to our Firm.

11. On March 10, 2004, I telephoned and spoke with Kevin Christiansen. He admitted having received the FedEx that I sent on January 12, 2004, but asked

me to send an additional copy. He did not indicate whether he would or would not execute the declaration. He asked me why he was required to sign another declaration when he had signed one previously, and I explained the reason that a new reissue declaration was required. I asked Mr. Christiansen to contact me immediately if he did not want to execute the declaration or if he had any questions about it. I asked him if I could e-mail him a copy of the application, preliminary amendment and declaration, and he indicated that I could not.

12. On March 11, 2004, I sent via Certified United States Mail, tracking number 7099 3400 0016 7170 3702, an additional copy of the application, preliminary amendment and a third copy of the declaration to Kevin Christiansen, at his last known address.

13. On March 31, we had not received an executed declaration, and I telephoned Mr. Christiansen at (408) 867-5470, which I knew to be his telephone number because it is the same number at which I reached him on March 10. In addition, the outgoing voice mail message stated "This is Kevin." In my voice mail, I reiterated the need for him to review the application, and either sign the declaration or advise us of his refusal to sign.

14. On or about April 2, 2004, I received the unopened Certified United States Mail package sent to Kevin Christiansen on March 11. The unopened envelope indicates that an attempt was made by the United States Postal Service to deliver the package on March 12, March 25 and March 31, and that the envelope was returned to the sender because it had been unclaimed.

15. As of May 7, 2004, Mr. Christiansen has not returned any of the declarations that were sent to him for signature.

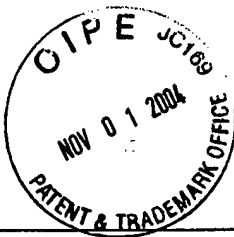
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be

true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

27 Oct 2004. 

Date

Daniel R. Brownstone, Reg. No. 46,581



POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:

☒ Practitioners associated with the Customer Number

758

AND

☒ Practitioners associated with the Customer Number

34599

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

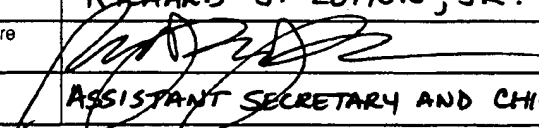
Assignee Name and Address:

Apple Computer, Inc.
1 Infinite Loop
Cupertino, CA 95014

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	RICHARD J. LUTON, JR.		
Signature		Date	9/21/04
Title	ASSISTANT SECRETARY AND CHIEF PATENT COUNSEL	Telephone	408 - 974- 9453



Atty. Dkt. No.: 18602-06418 (P1547R1)

Certificate Under 37 CFR § 3.73(b)

COPY

Applicant:

Kevin M. Christiansen

Application No.:

09/972,847

Filing Date:

October 4, 2001

Name of Assignee:

Apple Computer, Inc.

Type of Assignee (e.g. corporation, partnership, university, government agency, etc.):

Corporation

The above-mentioned Assignee certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8106, Frame 0975, or for which a copy thereof is attached; OR

B. ☐ A chain of title from the inventor, of the patent application identified above, to the current assignee as shown below:

1. From: _____

To: _____

The document was recorded in the Patent and Trademark Office at

Reel: _____ and Frame: _____, or for which a copy thereof is attached.

2. From: _____

To: _____

The document was recorded in the Patent and Trademark Office at

Reel: _____ and Frame: _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2-6-02

Date

Signature

Richard J. Lutton, Jr.

Name

Assistant Secretary, Chief Patent Counsel

Title



PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): Kevin M. Christiansen
APPLICATION NO.: 10/667,241
FILING DATE: September 18, 2003
TITLE: SYSTEM FOR DATA TRANSFER THROUGH AN I/O DEVICE USING A MEMORY ACCESS CONTROLLER WHICH RECEIVES AND STORES INDICATION OF A DATA STATUS SIGNAL
EXAMINER: Unassigned
GROUP ART UNIT: Unassigned
ATTY. DKT. NO.: 18602-08301

COPY

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 7 May 2004

By: D. R. Brownstone
Daniel R. Brownstone, Reg. No.: 46,581

MAIL STOP PETITION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts dated November 7, 2003 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ Reissue Declaration under 37 CFR 1.175 executed on behalf of Applicant under 37 CFR 1.47(b)
- ☒ Declaration of Daniel R. Brownstone
- ☒ Petition for Filing on Behalf of an Inventor under 37 CFR 1.47(b)

☒ payment in the amount of \$ 1180 for the

☒ application filing fee;

☒ fee for additional claims; and

☒ missing parts surcharge.

COPY

Respectfully submitted,
APPLE COMPUTER, INC.

Dated: 7 May 2004

By: 

Daniel R. Brownstone, Reg. No.: 46,581
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (415) 875-2358
Fax.: (650) 938-5200

18602/08301/SF/5120538.1



PATENT

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Kevin M. Christiansen
APPLICATION NO: 10/667,241
FILING DATE: September 18, 2003
TITLE: SYSTEM FOR DATA TRANSFER THROUGH I/O DEVICE USING A
MEMORY ACCESS CONTROLLER WHICH RECEIVES AND STORES
INDICATION OF A DATA STATUS SIGNAL
EXAMINER: Unassigned
GROUP ART UNIT: Unassigned
ATTY. DKT. NO.: 18602-08301

CERTIFICATE OF MAILING

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Dated: 7 May 2004.

By: [Signature]
Daniel R. Brownstone, Reg. No. 46,581

MAIL STOP PETITION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

COPY

PETITION FOR EXTENSION OF TIME

Applicant hereby petitions for an extension of time of

Term of Extension	Small Entity	Other than a Small Entity
<input type="checkbox"/> 1 month	<input type="checkbox"/> \$ 55.00	<input type="checkbox"/> \$ 110.00
<input type="checkbox"/> 2 months	<input type="checkbox"/> \$205.00	<input type="checkbox"/> \$ 410.00
<input type="checkbox"/> 3 months	<input type="checkbox"/> \$465.00	<input type="checkbox"/> \$ 930.00
<input checked="" type="checkbox"/> 4 months	<input type="checkbox"/> \$725.00	<input checked="" type="checkbox"/> \$1,480.00

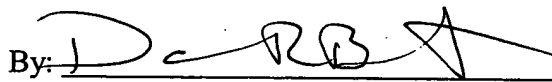
for responding to the Patent Office communication mailed November 7, 2003.

PATENT

Enclosed herewith is payment in the amount of \$ 1,480 for the fee for the extension of time, calculated as indicated above.

Respectfully submitted,
APPLE COMPUTER, INC.

Dated: 7 May 2004

By: 
Daniel R. Brownstone, Reg. No.: 46,581
FENWICK & WEST LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (415) 875-2358
Fax.: (415) 281-1350

18602/08301/SF/5120531.1

COPY



NOV 01 2004
 UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/667,241	09/18/2003	Kevin M. Christiansen	18602-08301

CONFIRMATION NO. 2909

00758
 FENWICK & WEST LLP
 SILICON VALLEY CENTER
 801 CALIFORNIA STREET
 MOUNTAIN VIEW, CA 94041

FORMALITIES LETTER



OC000000011217926

COPY

Date Mailed: 11/07/2003

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$280** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1180** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$280**
 - **\$172** for 2 independent claims over 3.
 - **\$108** for 6 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

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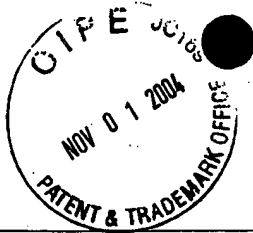
A copy of this notice MUST be returned with the reply.

 **Nathaniel R. Hayden**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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**REISSUE APPLICATION: DECLARATION BY THE
APPLICANT UNDER 37 C.F.R. § 1.47(B)**

Docket Number (Optional)
18602-08301 (P1547R1C1)

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 5,961,614, granted October 5, 1999, and for which a reissue patent is sought on the invention entitled SYSTEM FOR DATA TRANSFER THROUGH AN I/O DEVICE USING A MEMORY ACCESS CONTROLLER WHICH RECEIVES AND STORES INDICATION OF A DATA STATUS SIGNAL

the specification of which

☐ is attached hereto.

☒ was filed on September 18, 2003 as reissue application number 10/667,241
and was amended on September 18, 2003
(If applicable)

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.

☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.

☐ by reason of other errors.

At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:

At least one error being relied upon as the basis for reissue is the failure to claim or further claim subject matter disclosed in the specification pertaining to a media access controller including circuitry that controls the operation of the media access controller based on a data status signal generated by an I/O device after the transfer of a data unit to an external system.

COPY

(REISSUE APPLICATION: DECLARATION BY THE INVENTOR, page 2)

Docket Number (Optional)
18602-08301 (P1547R1C1)

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

David L. Hayes, Reg. No. 34,838; Robert R. Sachs, Reg. No. 42,120; Amir H. Raubvogel, Reg. No. 37,070; Brian M. Hoffman, Reg. No. 39,713; Daniel R. Brownstone, Reg. No. 46,581; Michael R. Blum, Reg. No. 44,543; Richard J. Lutton, Jr., Reg. No. 39,756; Mark Aaker, Reg. No. 32,667; Richard C. Liu, Reg. No. 34,377; Edward W. Scott IV, Reg. No. 36,000; Helene Plotka Workman, Reg. No. 35,981; Brian Way, Reg. No. 47,171.

Correspondence Address: Direct all communications about the application to:

☒ Customer Number

00758

Type Customer Number Here

☐ Firm or Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of sole or first inventor (given name, family name) Kevin M. Christiansen

 DANIEL R. BROWNSTONE
46,581

Date
7 May 2004

Applicant's Signature under 37 C.F.R. § 1.47(b) and MPEP § 409.03(b)(A)

Inventor's Residence Saratoga, California

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Full name of sole or second inventor (given name, family name)

Inventor's Signature

Date

Residence

Citizenship

Mailing Address

Full name of sole or third inventor (given name, family name)

Inventor's Signature

Date

Residence

Citizenship

Mailing Address

☐ Additional Patentees are named on separately numbered sheets attached hereto.